



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignnia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/915,024	07/25/2001	Raymond R. Husted	01AB067	7026
7:	590 07/21/2003			
	examiner M. Gerasimow Examiner	NER		
Rockwell Automation (Allen-Bradley, Inc.) 1201 South Second Street			SONG, JASMINE	
Milwaukee, Wl	1 53204		ART UNIT PAPER NUMBER	
			2188	ij
			DATE MAILED: 07/21/2003	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)			
,					
Office Action Summary	09/915,024	HUSTED ET AL.			
Office Action Summary	Examiner	Art Unit			
The MAN INO DATE of this committee	Jasmine Song	2188			
The MAILING DATE of this communica Period for Reply	tuon appears on the cover sheet wi	th the correspondence address			
A SHORTENED STATUTORY PERIOD FOR THE MAILING DATE OF THIS COMMUNICA  - Extensions of time may be available under the provisions of 3 after SIX (6) MONTHS from the mailing date of this communic  - If the period for reply specified above is less than thirty (30) did if NO period for reply is specified above, the maximum statute  - Failure to reply within the set or extended period for reply will,  - Any reply received by the Office later than three months after earned patent term adjustment. See 37 CFR 1.704(b).  Status	ATION.  37 CFR 1.136(a). In no event, however, may a recation.  lays, a reply within the statutory minimum of thirtory period will apply and will expire SIX (6) MON,  by statute, cause the application to become AB	eply be timely filed  y (30) days will be considered timely.  THS from the mailing date of this communication.  ANDONED (35 U.S.C. § 133).			
1) Responsive to communication(s) filed	on 25 July 2001 .				
, <u> </u>	) This action is non-final.				
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims					
4) Claim(s) 1-19 is/are pending in the app	plication.				
4a) Of the above claim(s) is/are v	withdrawn from consideration.				
5) Claim(s) is/are allowed.					
6)⊠ Claim(s) <u>1-19</u> is/are rejected.					
7) Claim(s) is/are objected to.					
8) Claim(s) are subject to restriction	n and/or election requirement.				
Application Papers					
9)☐ The specification is objected to by the E	xaminer.				
10)⊠ The drawing(s) filed on <u>25 July 2001</u> is/a	are: a)⊠ accepted or b)□ objected	to by the Examiner.			
Applicant may not request that any objecti		, ,			
11) ☐ The proposed drawing correction filed on is: a) ☐ approved b) ☐ disapproved by the Examiner.					
If approved, corrected drawings are requir					
12) The oath or declaration is objected to by	the Examiner.				
Priority under 35 U.S.C. §§ 119 and 120					
13) Acknowledgment is made of a claim for	r foreign priority under 35 U.S.C. §	3 119(a)-(d) or (f).			
a)☐ All b)☐ Some * c)☐ None of:					
1. Certified copies of the priority do	cuments have been received.				
2. Certified copies of the priority do	cuments have been received in Ap	oplication No			
	the priority documents have been onal Bureau (PCT Rule 17.2(a)). or a list of the certified copies not it	-			
14) ☐ Acknowledgment is made of a claim for c	·				
a) ☐ The translation of the foreign languants)☐ Acknowledgment is made of a claim for a	age provisional application has be	een received.			
Attachment(s)					
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-3) Information Disclosure Statement(s) (PTO-1449) Paper	-948) 5) Notice of Ir	Summary (PTO-413) Paper No(s)  nformal Patent Application (PTO-152)			
S. Patent and Trademark Office TO-326 (Rev. 04-01)	Office Action Summary	Part of Paper No. 4			

Art Unit: 2188

#### **Detailed Action**

1. Claims 1-19 are presented for Examination.

### **Specification**

2. The lengthy specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.

### **Claim Objections**

Claim 5 is objected to because of the following informalities:
 In claim 5, line 1, "I/O processing" should be changed to – I/O processor--.
 Appropriate correction is required.

#### Oath/Declaration

4. The applicant's oath/declaration has been reviewed by the examiner and is found to conform to the requirements prescribed in 37 C.F.R. § 1.63.

#### **Information Disclosure Statement**

5. As required by M.P.E.P § 609 (C), the applicant's submission of the Information Disclosure Statement, dated on August 25 of 2000, is acknowledged by the examiner; and the cited references have been considered in the examination of the claims now

Art Unit: 2188

pending. As required by M.P.E.P § 609 C (2), a copy of the PTO-1449 initialed and dated by the examiner is attached to the instant office action.

### **Drawings**

6. The drawings filed on 07/25/2001 have been approved by the Examiner.

### Claim Rejections - 35 USC § 112

7. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 3 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

8. Claim 3 recites the limitation "the message" in lines 2. There is insufficient antecedent basis for this limitation in the claim.

## Claim Rejections - 35 USC § 103

- 9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 10. Claims 1-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schmidt et al., U.S. Patent 5,212,631, in view of Sharma et al., U.S. Patent 6,085,263.

Application/Control Number: 09/915,024

Art Unit: 2188

Regarding claims 1,6 and 9, Schmidt teaches that a programmable control system, comprising:

a processor (Fig.2, General purpose processor 60) for executing a control program (col.3, lines 63-66 and col.4, lines 33-34); a shared memory (Fig.2, shared system RAM 36) storing data associated with the control program, at least one of data associated with sensing and actuating devices (col.3, lines 63 –68; col.5, lines 58-62 and Fig.3, col.5, lines 32-39), and forced I/O values (Fig.3); and

an I/O processor (Fig.2 or Fig.4, the ladder logic processor 50) for performing at least one of input and output functions (Fig.4), the I/O processor and the processor operatively coupled to the shared memory (Fig.2). The I/O processor storing input values in the shared memory and determining output value based at least in part upon forced I/O values (utilizing the infrequently changed data) stored in the shared memory (col.6, lines 50 to col.7, lines 39).

Schmidt does not teach that the I/O processor operatively coupled to a cache memory storing at least a portion of the forced I/O values stored in the shared memory, therefore, storing input values in the shared memory and determining output value based at least in part upon forced I/O values stored in the cache memory.

However, Sharma teaches that the I/O processor operatively coupled to a cache memory storing at least a portion of the forced I/O values stored in the shared memory (as shown in Fig.1, IOP 800 includes the cache 820 storing the data prefetched from the shared memory 150, therefore, storing and loading data from the shared memory is based on the prefetched data within the cache memory) (col.14, lines 9-37).

Art Unit: 2188

It would have been obvious to the ordinary skill in the art at the time the invention was made to utilize the teachings of Sharma such as having a cache storing the portion of data from the shared memory because this feature improves overall performance of the system since the prefetched data is already in the cache memory and does not need to be retrieved from the slow shared memory.

According, one of ordinary skill in the art would have recognized this and concluded that they are from the same field of endeavor (for example: I/O processor includes the cache memory). This would have motivated one of ordinary skill in the art to implement the above combination for the advantages set forth above.

Regarding claims 10,12,15-17 and 19, Schmidt teaches that a method for forcing an I/O values in an industrial control environment, comprising:

receiving information associated with an input from a sensing device; retrieving information associated with an output to an actuating device (col.3, lines 63 –68; col.5, lines 58-62 and Fig.3, col.5, lines 32-39);

Schmidt does not teach that loading a cache with forcing information associated with a forced input or output and forcing the input or output based at least in part upon the forcing information loaded in the cache.

However, Sharma teaches that loading a cache with forcing information associated with a forced input or output and forcing the input or output based at least in part upon the forcing information loaded in the cache (as shown in Fig.1, IOP 800 includes the cache 820 storing the data prefetched from the shared memory 150,

Page 6

therefore, storing and loading data from the shared memory is based on the prefetched data within the cache memory) (col.14, lines 9-37).

It would have been obvious to the ordinary skill in the art at the time the invention was made to utilize the teachings of Sharma such as having a cache storing the portion of data from the shared memory because this feature improves overall performance of the system since the prefetched data is already in the cache memory and does not need to be retrieved from the slow shared memory.

According, one of ordinary skill in the art would have recognized this and concluded that they are from the same field of endeavor (for example: I/O processor includes the cache memory). This would have motivated one of ordinary skill in the art to implement the above combination for the advantages set forth above.

Regarding claims 2-3,8,11,13-14 and 18, Sharma teaches that the processor sending a message to the I/O processor in the event a forced I/O value (the infrequently changed data) has been altered during execution of the control program, the I/O processor causing the cache memory to be refreshed by performing a blocked write in response to receipt of the message. It would have been obvious to the ordinary skill in the art at the time the invention was made to utilize the teachings of Sharma such as I/O processor perform a blocked write to refresh the cache memory in response to the altered I/O value caused by the processor in order to maintain the consistency among the caches of the IOP and processors (col.7, lines 10-39).

Regarding claim 4, Schmidt teaches that the forced I/O values comprising at least one of binary and analog values (col.6, lines 19-21).

Regarding claim 5, Schmidt teaches that the processor and I/O processing being coupled by at least one of a serial communications backplane bus, a parallel communications backplane bus and a network (Fig.2, first and second network interfaces and col.4, lines 1-4).

Regarding claim 7, Schmidt teaches that the infrequently changed data being at least one of I/O force data, configuration data, I/O fail safe information, a connection table, an output keep alive table and information associated with an input time-out (Fig.3).

#### Conclusion

11. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Sartore., U.S. Patent 6223266 B1 teaches that a system and method for interfacing an input/output system memory to a host computer system memory.

Kuwata, U.S. Patent 6349358 B1 teaches that a magnetic disk control apparatus detects a near sequential I/O and pre-reads data from a magnetic disc drive into a cache memory.

Schmidt et al., U.S. Patent 5265005 teaches that a processor for a programmable controller.

Application/Control Number: 09/915,024

Art Unit: 2188

Brooks et al., U.S. Patent 5295059 teaches that a programmable controller with ladder diagram macro instruction.

VanDoren et al., U.S. Patent 6279084 B1 teaches that shadow commands to optimize sequencing of requests in a switch-based multi-processor system.

Aoki et al., US 2001/0003187 A1 teaches that a task parallel processing method.

- 12. When responding to the office action, Applicant is advised to clearly point out the patentable novelty which he or she thinks the claims present in view of the state of the art disclosed by the references cited or the objections made. He or she must also show how the amendments avoid such references or objections. See 37 C.F.R. 1.111 (c).
- 13. When responding to the office action, Applicants are advised to provide the examiner with the line numbers and page numbers in the application and/or references cited to assist examiner to locate the appropriate paragraphs.
- 14. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jasmine Song whose telephone number is 703-305-7701. The examiner can normally be reached on 8:00-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Donald Sparks can be reached on 703-308-1756. The fax phone numbers for the organization where this application or proceeding is assigned are 703-746-7238 for regular communications and 703-746-7239 for After Final communications.

Page 8

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-3900.

Jasmine Song %>

Patent Examiner

July 14, 2003

Donald Sparks

Supervisory Patent Examiner

**Technology Center 2100**